



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Ian S. Zagon, et al. Examiner: R. Landsman  
Serial No.: 09/431,843 Art Unit: 1646  
Filed: November 2, 1999 Docket: 13038  
For: NOVEL NUCLEIC ACID MOLECULES Dated: June 19, 2000  
ENCODING OPIOID GROWTH FACTOR  
RECEPTORS

Assistant Commissioner for Patents  
Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. § 1.821(f)

Sir:

I hereby state that the content of the substitute paper and computer readable copies of the Sequence Listing submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same.

Respectfully submitted,

Frank S. DiGiglio  
Registration No. 31,346

SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343  
FSD/XZ:ab

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on June 19, 2000.

Dated: June 19, 2000

  
Michelle Spina



PATENT

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Serial No.: 09/431,843 Art Unit: 1646  
Filed: November 2, 1999 Docket: 13038  
For: NOVEL NUCLEIC ACID MOLECULES Date: June 19, 2000  
ENCODING OPIOID GROWTH FACTOR  
RECEPTORS

Assistant Commissioner for Patents  
Washington, DC 20231

Response to Notice to Comply under 37 C.F.R. § 1.821

Sir:

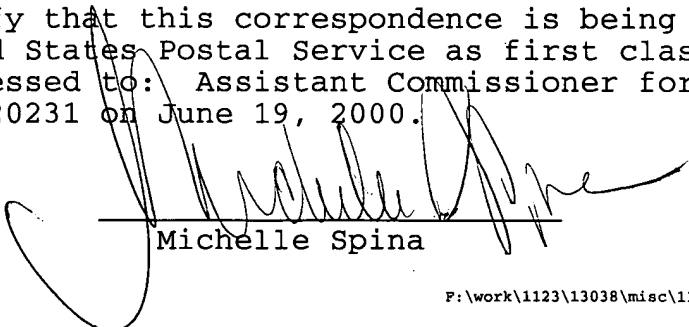
In response to the Office Communication dated May 19, 2000 and in accordance with the provisions in 37 C.F.R. §1.821, Applicants submit herewith a substitute paper and a substitute computer readable copy of the Sequence Listing, along with a Statement Under 37 C.F.R. § 1.821(f), stating that these copies are identical. A copy of the Notice to Comply is also

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on June 19, 2000.

Dated: June 19 2000

  
Michelle Spina

enclosed. Applicants respectfully submit that the content of the paper and computer copies of the sequence listing does not introduce new matter.

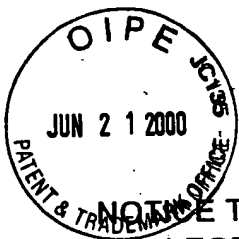
Respectfully submitted,



Frank S. DiGiglio  
Registration No. 31,346

SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

FSD/XZ:ab



Application No.: 09/431341

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other:

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216  
For CRF Submission Help, call (703) 308-4212  
For PatentIn software help, call (703) 308-6856

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**



# SEQUENCE LISTING

<110> Zagon S., Ian  
Verderame, Michael  
Allen, Sandra  
McLaughlin J., Patricia

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FACTOR RECEPTORS

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 <213> Homo sapiens

<400> 8

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Ala	Arg	Asp	Ala	Asp	Ala	Gly	Asp	Glu	Asp	Glu	Glu	Ser	Glu	Glu	Pro	35	40	45	
Arg	Ala	Ala	Arg	Pro	Ser	Ser	Phe	Gln	Ser	Arg	Met	Thr	Gly	Ser	Arg	50	55	60	
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Asp	Leu	Val	Glu	Arg	Asp	Cys	Asn	Gly	Asp	Thr	Pro	Asn	Leu	Ser	Phe	85	90	95	
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Ser	Tyr	Ile	Gln	Trp	Leu	Phe	Pro	Leu	Arg	Glu	Pro	Gly	Val	Asn	Trp	130	135	140	
His	Ala	Lys	Pro	Leu	Thr	Leu	Arg	Glu	Val	Glu	Val	Phe	Lys	Ser	Ser	145	150	155	160
Gln	Glu	Ile	Gln	Glu	Arg	Leu	Val	Arg	Ala	Tyr	Glu	Leu	Met	Leu	Gly	165	170	175	
Phe	Tyr	Gly	Ile	Arg	Leu	Glu	Asp	Arg	Gly	Thr	Gly	Thr	Val	Gly	Arg	180	185	190	
Ala	Gln	Asn	Tyr	Gln	Lys	Arg	Phe	Gln	Asn	Leu	Asn	Trp	Arg	Ser	His	195	200	205	
Asn	Asn	Leu	Arg	Ile	Thr	Arg	Ile	Leu	Lys	Ser	Pro	Cys	Glu	Leu	Ser	210	215	220	
Leu	Glu	His	Phe	Gln	Ala	Pro	Leu	Val	Arg	Phe	Phe	Leu	Glu	Glu	Thr	225	230	235	240
Leu	Val	Arg	Arg	Glu	Leu	Pro	Gly	Val	Arg	Gln	Ser	Ala	Leu	Asp	Tyr	245	250	255	
Phe	Met	Phe	Ala	Val	Arg	Cys	Arg	His	Gln	Arg	Arg	Gln	Leu	Val	His	260	265	270	

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 Glu Gly Ser Arg Lys Val Glu Glu Glu Gly Ser Pro Gly Asp Pro Asp  
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 325 330 335  
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 Pro Gln Ser Ala Ser Glu Val Glu Lys Ile Ala Leu Asn Leu Glu Gly  
 405 410 415  
 Cys Ala Leu Ser Gln Gly Ser Leu Arg Thr Gly Thr Gln Glu Val Gly  
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 50 55 60  
 Asn Trp Arg Ala Thr Arg Asp Met Cys Arg Tyr Arg His Asn Tyr Pro  
 65 70 75 80  
 Asp Leu Val Glu Arg Asp Cys Asn Gly Asp Thr Pro Asn Leu Ser Phe  
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 Tyr Arg Asn Glu Ile Arg Phe Leu Pro Asn Gly Cys Phe Ile Glu Asp  
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 Ile Leu Gln Asn Trp Thr Asp Asn Tyr Asp Leu Leu Glu Asp Asn His  
 115 120 125  
 Ser Tyr Ile Gln Trp Leu Phe Pro Leu Arg Glu Pro Gly Val Asn Trp  
 130 135 140  
 His Ala Lys Pro Leu Thr Leu Arg Glu Val Glu Val Phe Lys Ser Ser  
 145 150 155 160  
 Gln Glu Ile Gln Glu Arg Leu Val Arg Ala Tyr Glu Leu Met Leu Gly  
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 Phe Tyr Gly Ile Arg Leu Glu Asp Arg Gly Thr Gly Thr Val Gly Arg  
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Leu	Glu	His	Phe	Gln	Ala	Pro	Leu	Val	Arg	Phe	Phe	Leu	Glu	Glu	Thr			
225					230					235					240			
Leu	Val	Arg	Arg	Glu	Leu	Pro	Gly	Val	Arg	Gln	Ser	Ala	Leu	Asp	Tyr			
				245					250					255				
Phe	Met	Phe	Ala	Val	Arg	Cys	Arg	His	Gln	Arg	Arg	Gln	Leu	Val	His			
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Phe	Ala	Trp	Glu	His	Phe	Arg	Pro	Arg	Cys	Lys	Phe	Val	Trp	Gly	Pro			
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Gly	Gln	Asp	Pro	Gly	Glu	Ala	Val	Gln	Pro	Cys	Arg	Gln	Pro	Leu	Gly			
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Ala	Arg	Val	Ala	Asp	Lys	Val	Arg	Lys	Arg	Arg	Lys	Val	Asp	Glu	Gly			
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 Asn Trp Arg Ala Thr Arg Asp Met Cys Arg Tyr Arg His Asn Tyr Pro  
 65 70 75 80  
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 85 90 95  
 Tyr Arg Asn Glu Ile Arg Phe Leu Pro Asn Gly Cys Phe Ile Glu Asp  
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 Phe Tyr Gly Ile Arg Leu Glu Asp Arg Gly Thr Gly Thr Val Gly Arg  
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 Ala Gln Asn Tyr Gln Lys Arg Phe Gln Asn Leu Asn Trp Arg Ser His  
 195 200 205



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225					230					235					240
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				245					250					255	
Phe	Met	Phe	Ala	Val	Arg	Cys	Arg	His	Gln	Arg	Arg	Gln	Leu	Val	His
			260					265					270		
Phe	Ala	Trp	Glu	His	Phe	Arg	Pro	Arg	Cys	Lys	Phe	Val	Trp	Gly	Pro
		275					280					285			
Gln	Asp	Lys	Leu	Arg	Arg	Phe	Lys	Pro	Ser	Ser	Leu	Pro	His	Pro	Leu
290						295					300				
Glu	Gly	Ser	Arg	Lys	Val	Glu	Glu	Glu	Gly	Ser	Pro	Gly	Asp	Pro	Asp
305					310					315					320
His	Glu	Ala	Ser	Thr	Gln	Gly	Arg	Thr	Cys	Gly	Pro	Glu	His	Ser	Lys
				325					330					335	
Gly	Gly	Gly	Arg	Val	Asp	Glu	Gly	Pro	Gln	Pro	Arg	Ser	Val	Glu	Pro
			340					345					350		
Gln	Asp	Ala	Gly	Pro	Leu	Glu	Arg	Ser	Gln	Gly	Asp	Glu	Ala	Gly	Gly
		355					360					365			
His	Gly	Glu	Asp	Arg	Pro	Glu	Pro	Leu	Ser	Pro	Lys	Glu	Ser	Lys	Lys
370						375					380				
Arg	Lys	Leu	Glu	Leu	Ser	Arg	Arg	Glu	Gln	Pro	Pro	Thr	Glu	Pro	Gly
385					390					395					400
Pro	Gln	Ser	Ala	Ser	Glu	Val	Glu	Lys	Ile	Ala	Leu	Asn	Leu	Glu	Gly
				405					410					415	
Cys	Ala	Leu	Ser	Gln	Gly	Ser	Leu	Arg	Thr	Gly	Thr	Gln	Glu	Val	Gly
			420					425					430		
Gly	Gln	Asp	Pro	Gly	Glu	Ala	Val	Gln	Pro	Cys	Arg	Gln	Pro	Leu	Gly
		435					440					445			
Ala	Arg	Val	Ala	Asp	Lys	Val	Arg	Lys	Arg	Arg	Lys	Val	Asp	Glu	Gly
	450					455					460				
Ala	Gly	Asp	Ser	Ala	Ala	Val	Ala	Ser	Gly	Gly	Ala	Gln	Thr	Leu	Ala
465					470					475					480
Leu	Ala	Gly	Ser	Pro	Ala	Pro	Ser	Gly	His	Pro	Lys	Ala	Gly	His	Ser
				485					490					495	
Glu	Asn	Gly	Val	Glu	Glu	Asp	Thr	Glu	Gly	Arg	Thr	Gly	Pro	Lys	Glu
			500					505					510		

Gly Thr Pro Gly Ser Pro Ser Glu Thr Pro Gly Pro Ser Pro Ala Gly  
 515 520 525  
 Pro Ala Gly Asp Glu Pro Ala Glu Ser Pro Ser Glu Thr Pro Gly Pro  
 530 535 540  
 Arg Pro Ala Gly Pro Ala Gly Asp Glu Pro Ala Glu Ser Pro Ser Glu  
 545 550 555 560  
 Thr Pro Gly Pro Ser Pro Ala Gly Pro Thr Arg Asp Glu Pro Ala Glu  
 565 570 575  
 Ser Pro Ser Glu Thr Pro Gly Pro Arg Pro Ala Gly Pro Ala Gly Asp  
 580 585 590  
 Glu Pro Ala Glu Ser Pro Ser Glu Thr Pro Gly Pro Arg Pro Ala Gly  
 595 600 605  
 Pro Ala Gly Asp Glu Pro Ala Glu Ser Pro Ser Glu Thr Pro Gly Pro  
 610 615 620  
 Ser Pro Ala Gly Pro Thr Arg Asp Glu Pro Ala Lys Ala Gly Glu Ala  
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 Ala Glu Leu Gln Asp Ala Glu Val Glu Ser Ser Ala Lys Ser Gly Lys  
 645 650 655

Pro

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 ggccgcccgc gcgagggacg cggacgcagg ggacgaggac gaggagtcgg aggagccgcg 180  
 ggcggcgcgcg cccagctcgt tccagtccag aatgacaggg tccagaaact ggcgagccac 240  
 gagggacatg tgtaggtatc ggcacaacta tccg gatctg gtggaacgag actgcaatgg 300  
 ggacacgcca aacctgagtt tctacagaaa tgagatccgc ttcctgcca acggctgttt 360  
 cattgaggac attcttcaga actggacgga caactatgac ctccttgagg acaatcactc 420

ctacatccag tggctgtttc ctctgcgaga accaggagtg aactggcatg ccaagcccct 480  
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 aacctccgca tcacacgcat cctcaagtcg ccgtgtgagc tgagcctcga gcacttccag 720  
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 35 40 45  
 Arg Ala Ala Arg Pro Ser Ser Phe Gln Ser Arg Met Thr Gly Ser Arg  
 50 55 60  
 Asn Trp Arg Ala Thr Arg Asp Met Cys Arg Tyr Arg His Asn Tyr Pro  
 65 70 75 80  
 Asp Leu Val Glu Arg Asp Cys Asn Gly Asp Thr Pro Asn Leu Ser Phe  
 85 90 95  
 Tyr Arg Asn Glu Ile Arg Phe Leu Pro Asn Gly Cys Phe Ile Glu Asp  
 100 105 110

Ile	Leu	Gln	Asn	Trp	Thr	Asp	Asn	Tyr	Asp	Leu	Leu	Glu	Asp	Asn	His
		115					120					125			
Ser	Tyr	Ile	Gln	Trp	Leu	Phe	Pro	Leu	Arg	Glu	Pro	Gly	Val	Asn	Trp
	130					135					140				
His	Ala	Lys	Pro	Leu	Thr	Leu	Arg	Glu	Val	Glu	Val	Phe	Lys	Ser	Ser
145					150					155					160
Gln	Glu	Ile	Gln	Glu	Arg	Leu	Val	Arg	Ala	Tyr	Glu	Leu	Met	Leu	Gly
				165					170						175
Phe	Tyr	Gly	Ile	Arg	Leu	Glu	Asp	Arg	Gly	Thr	Gly	Thr	Val	Gly	Arg
			180					185						190	
Ala	Gln	Asn	Tyr	Gln	Lys	Arg	Phe	Gln	Asn	Leu	Asn	Trp	Arg	Ser	His
		195					200					205			
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	210					215					220				
Leu	Glu	His	Phe	Gln	Ala	Pro	Leu	Val	Arg	Phe	Phe	Leu	Glu	Glu	Thr
225					230					235					240
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				245					250					255	
Phe	Met	Phe	Ala	Val	Arg	Cys	Arg	His	Gln	Arg	Arg	Gln	Leu	Val	His
			260					265					270		
Phe	Ala	Trp	Glu	His	Phe	Arg	Pro	Arg	Cys	Lys	Phe	Val	Trp	Gly	Pro
		275					280					285			
Gln	Asp	Lys	Leu	Arg	Arg	Phe	Lys	Pro	Ser	Ser	Leu	Pro	His	Pro	Leu
	290					295					300				
Glu	Gly	Ser	Arg	Lys	Val	Glu	Glu	Glu	Gly	Pro	Ala	Gly	Asp	Glu	Pro
305					310					315					320
Ala	Glu	Ser	Pro	Ser	Glu	Thr	Pro	Gly	Pro	Ser	Pro	Ala	Gly	Pro	Thr
				325					330					335	
Arg	Asp	Glu	Pro	Ala	Lys	Ala	Gly	Glu	Ala	Glu	Ala	Cys	Cys	Leu	Ala
			340					345					350		
Val	Ser	Ser	His	Pro	Ala	Leu	Pro	Cys	Ala	Pro	Val	Phe	Val	Asn	Arg
		355					360					365			
Pro	Phe	Trp	Ser	Gly	Gly	Arg	Arg	Ala	Gly	Leu	Ala	Phe	Leu	Ser	Leu
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385					390										

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